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Assigned to

Rockwell Scientific

for

Monazite-based Thermal Barrier Coatings

Monazite-based Thermal Barrier Coatings

Background of the Invention

Area of the Art

The present invention is in the field of thermal barrier coatings used to protect machine parts, in particular engine components subjected to high

10   temperature and corrosive atmospheres.

Description of the Prior Art

Many important machine parts—especially turbine engine parts—are exposed to high temperatures and corrosive atmospheres. It is necessary to provide some type of coating to protect these parts from premature wear and

15   erosive or corrosive damage. These coatings are needed both for thermal insulation and environmental protection of engine and similar components. Different types of materials require different types of barrier coatings. Currently, thermal barrier coatings based on zirconium oxide (ZrO_2) are used widely in commercial airline, aerospace and power generating engines to raise the safe

20   operating temperatures and improve the lifetimes of metallic components (4, 21, 28, 6, 33). Environmental barrier coatings for non-metallic silicon carbide (SiC), mostly based on refractory silicates, have been the subject of intense development recently, following the realization that lifetimes of SiC components in combustion environments are limited not only by oxidation but also by

25   corrosion from water vapor (29, 25, 26).

Coatings for Metallic Parts

Nickel-based superalloy (as well as iron-based and cobalt-based superalloy) components are frequently coated with low conductivity yttria-stabilized zirconium oxide of thickness of the order 100-200 μm. An intermediate layer of aluminum oxide (Al_2O_3) ($\sim$1-4 μm thickness) is formed between the zirconia and a bonding layer which ensures bonding to the underlying metal (typically a superalloy). (29) The zirconia layer has low thermal conductivity (which may be reduced further by designed porosity and cracking). Zirconia is chosen almost universally for the outer layer because of its low thermal conductivity, high-temperature stability and corrosion resistance. The Al_2O_3 layer and bond coat protect the underlying superalloy from oxidation, the transport of oxygen through the zirconia layer, both by ionic diffusion and by diffusion through microcracks and voids being very rapid at typical combustion temperatures. The bond coat is an alloy containing sufficient Al, Cr and other active elements that encourage formation of a protective α-Al_2O_3 scale during oxidation.

Unfortunately, the reliability and lifetimes of these coatings are limited by a number of factors:

(1) With increasing temperature above $\sim$1100°C and repeated thermal cycling, failure is caused by spalling, usually at the ceramic-metal interface between the Al_2O_3 layer and the bond coat. (7, 8, 22) The driving force for spalling comes from residual stresses in the Al_2O_3 coating, which result both from the difference in thermal expansion coefficient of Al_2O_3 ($\sim$8x10^{-6}°C^{-1}) and the superalloy ($\sim$12 to 16 x 10^{-6}°C^{-1}), and from growth stresses thought to arise from oxidation of Al diffusing within the existing Al_2O_3 layer, (31, 18, 2) whereas the resistance to spalling is reduced by interface imperfections, both

chemistry and topology, and associated fatigue and ratcheting of the underlying metal (7, 8).

(ii) Degradation by common fuel impurities, including sulfur, vanadium, and phosphorus. (14, 10) Vanadium causes destabilization of the Y-stabilized

5   zirconia thermal barrier layer, and is of particular concern because of the cost of high grade fuel with low vanadium content.

(iii) Sintering and densification of the outer zirconia layer, which leads to increased thermal conductivity because of removal of fine-scale porosity and loss of the strain tolerance because of increased stiffness, and in the case of

10   electron beam-physical vapor deposition (EB-PVD) coatings, bonding within the columnar microstructure.

The growth of the alumina layer is typically controlled by oxygen diffusion through the layer, with Al counter-diffusion playing a smaller but potentially important role. Reducing the growth rate implies reducing either the activity of

15   aluminum on the bond coat side and/or of oxygen on the topcoat interface. The first option is risky because it can lead to de-stabilization of the alumina with concomitant loss of adhesion. The second option is not realistic because zirconia as a top layer poses no significant barrier to oxygen transport.

Alternative thermal barrier compounds with the potential to offer as low

20   or lower conductivity than zirconia and improved resistance to fuel impurities or sintering are likely to be more complex oxides, containing two or more cations. Examples include $Gd_2Zr_2O_7$, which was recently developed (20). In recent research "La-hexaluminate" is among other candidates that are being explored. It can be difficult to maintain optimal stoichiometry between multiple cations

25   during deposition of coatings of complex oxides.

Coatings for Ceramic Parts

Silicon-based ceramics (SiC and Si_3N_4) and their composites are also candidates for structural components for hot sections of heat engines and in heat exchangers. However, in these applications the exposure to combustion gases leads to life-limiting degradation in the temperature range 1200°C to 1400°C, well below the temperature to which SiC is stable in less aggressive environments. Various corrosion mechanisms operate, depending on the composition of the combustion gases or impurities in the material.

Oxidation of pure silicon carbide normally leads to a protective scale layer of SiO_2. (12) However, the effectiveness of the protective layer is sensitive to environmental factors. In dry oxygen-containing environments the scale growth is limited by inward diffusion of oxygen through the scale, (24, 19, 34) with parabolic kinetics, *i.e.*, decreasing oxidation rate with increasing time, and thus effective protection. The SiO_2 scale provides protection even in flowing gases, because the rate of oxygen diffusion in amorphous SiO_2 is low, and the viscosity remains high at temperatures close to the SiO_2 melting point (~1700°C). (1) The upper functional temperature of the scale is determined either by reaction between the SiC substrate and the SiO_2 coating to form bubbles of gaseous CO at the interface, or by vaporization of SiO at the exposed surface (in fuel-rich environments). (12, 30, 13) Both mechanisms become significant at temperatures above ~1500°C.

In the presence of impurities that form low melting point silicates and low viscosity silicate glasses (*e.g.*, boron commonly used as sintering aids in SiC materials or alkalis etc.), the protection offered by the oxide scale is reduced because of more rapid oxygen diffusion in the silicates and glasses. (10, 32, 3) The loss of protection is especially severe in flowing gases which remove the low viscosity silicates.

Furthermore, most combustion gases contain H_2O vapor ($\sim 10\%$ for combustion chambers of gas turbines) which leads to more rapid degradation than in dry O_2. (29, 25, 26) Water promotes crystallization of silicates, which potentially would retard oxygen diffusion but also promotes cracking. More importantly, H_2O causes volatilization at the outer surface of the SiO_2 layer (with the possible formation of $Si(OH)_4$, $SiO(OH)_2$ or $Si_2O(OH)_6$). This leads to linear kinetics (*i.e.*, the surface recession rate is controlled by the reaction rate for volatilization) and thus less protection over long times. This process is very sensitive to temperature and partial pressure of H_2O but is not affected by impurities contained in the combustion gases.

Combustion gases also contain impurities, including sulfur, vanadium and alkali metals, that cause hot corrosion at substantially lower temperatures. For example, the presence of common salt (sodium chloride) can lead to the formation of Na_2SO_4, which when deposited on SiC causes degradation by dissolution and enhanced oxidation in the temperature range 900°C to 1200°C (*i.e.*, between the melting point and the boiling point of the deposit). (12)

Approaches have been taken by others to extend the life of SiC components in combustion environments (*see*, 12, 17, 15, 9, 16, 27, 5). One approach is to develop protective coatings, either through improving the effectiveness of the SiO_2 scale that forms by oxidation or through depositing a more refractory coating. The second is to apply a thermal barrier coating to reduce the temperature at the SiC surface to less than about 1200°C. In this lower temperature range the oxidation rate is negligible (and at the same time hot corrosion by fuel and salt impurities is reduced. Refractory silicates based on celsian are currently used as a more refractory coating (5). Mullite coatings have also shown some protection, as long as the mullite is deposited in the crystalline form (17). However, excess alumina and other impurities in the mullite can lead

to enhanced oxidation at temperatures above 1300°C. Especially damaging is the formation of voids at the SiO_2 layer that forms between the SiC and the mullite. Void formation is more severe in water-containing environments than in dry air. Void formation is thought to be due to oxidation of SiC at interface to

5   form SiO_2 and CO, combined with reduced viscosity of the SiO_2 due to excess alumina and other impurities (15). The addition of a thermal barrier layer on top of the mullite may not directly affect the oxidation rate, but would reduce the temperature at the mullite/SiC interface.

Summary of the Invention

10   Monazite and xenotime rare earth phosphates can be used to form thermal barrier coatings for high temperature applications. For example, lanthanum phosphate ($LaPO_4$ or La-monazite) coatings can be employed as thermal barrier coatings to protect superalloy and ceramic parts exposed to high temperature and corrosive atmospheres. These coatings are expected to be

15   resistant to damage by sulfur, vanadium, phosphorus and other contaminants often found in such atmospheres which contaminants cause deterioration of the commonly used zirconium oxide (zirconia) thermal barrier coatings.

The monazite and xenotime coatings can be applied using any of the common application methods including electron beam-physical vapor deposition

20   (EB-PVD), laser ablation and plasma spraying. The stoichiometry of the $LaPO_4$ can be somewhat difficult to control in deposition methods that involve melting, because of preferential evaporation of P. In particular, the deposited coating may show a deficiency of phosphate relative to lanthanum as compared to a stoichiometric $LaPO_4$ starting material. The stoichiometry can be modulated

25   according to the stoichiometry of the specially prepared starting target (source) material. Ideally, fresh target material is constantly exposed to the evaporating

means (e.g., laser or electron beam) so that the melt stoichiometry is not changed by differential loss of some of the components. Use of a pulsed electron beam for ablation shows special advantages in controlling stoichiometry.

5   The structure of the deposited coating is strongly influenced by the temperature of the substrate during deposition. Coatings deposited at lower temperatures (approximately 0.45 of the melting temperature) were glassy or showed botryoidal clusters forming a porous structure. Exposure of such coatings to higher temperature results in increased crystallinity without showing 10 significantly improved resistance to spalling. The most effective coatings are largely crystalline and show a columnar structure with feather-like microstructure. Although there is some interaction between deposition method and optimum substrate temperature, the preferred coatings were deposited on substrates of intermediate temperature (about 0.48 melting temperature).

15 Description of the Figures

FIGURE 1a shows a scanning electron micrographs of a coating deposited at 860°C showing both the surface and a fracture face.

FIGURE 1b shows a scanning electron micrographs of the coating of Fig. 10a illustrating details of the fracture face showing a columnar structure with 20 feather-like features.

FIGURE 2 shows an X-diffraction pattern for a coating deposited at 860°C and 910°C (the marked curve is reference $LaPO_4$).

FIGURE 3a shows a thick $LaPO_4$ coating, showing columnar structure, deposited on sapphire at room temperature by laser ablation.

FIGURE 3b shows a thin $LaPO_4$ coating deposited on sapphire at $\sim 800°C$ by laser ablation and subsequently annealed at 1100°C.

Detailed Description of the Invention

5   The following description is provided to enable any person skilled in the art to make and use the invention and sets forth the best modes contemplated by the inventor of carrying out his invention. Various modifications, however, will remain readily apparent to those skilled in the art, since the general principles of the present invention have been defined herein specifically to

10   provide a thermal barrier coating based on rare earth phosphates.

Rare-earth Phosphates

Rare–earth phosphates have a combination of properties (high temperature stability, compatibility, thermal conductivity, and corrosion resistance) that make them of use for thermal barrier coatings. These materials

15   show thermal conductivity and thermal expansion coefficients similar to zirconia (23, 11). Two series of rare earth phosphates are useful in the present invention. Monazites form a family of materials of the general formula MPO_4 where M represents any of larger trivalent elements of the lanthanide series (La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, and Tb). Xenotimes are analogous phosphates of

20   the general formula XPO_4 where X is selected from Sc, Y and any of the smaller trivalent elements of the lanthanide series (Dy, Ho, Er, Tm, Yb, and Lu). It is expected that these compounds will show similar properties as thermal barrier coatings.

We have experimented with La-monazite as a test material. This

25   compound (as well as the other listed rare earth phosphates) is less susceptible

than zirconia to high temperature corrosion in environments containing sulfur, vanadium or phosphorus. This comes about largely because the strongly basic trivalent rare earth is bonded to the strongly acidic phosphate, forming a low free energy compound with the preferred simple valence balance that leads also

5   to good crystal packing. Weaker acids and bases have little chance of reacting with this compound. La-monazite is also stable to very high temperatures and compatible with many simple oxides. It is well known in rare-earth chemistry that there are very gradual changes as one moves along the rare-earth series with increasing atomic weight. We concentrate here on La phosphates as

10 probably the most desirable contender in several ways (highest melting, lightest etc). We do not intend to preclude other rare earths or their combinations (e.g., La and Ce) which also show some usefulness.

     $LaPO_4$ has many of the attributes that make the commonly used zirconia desirable as a thermal barrier material. $LaPO_4$ is refractory with low thermal

15 conductivity (approximately 1.8 W/m•K at 700°C), high thermal expansion coefficient (9-10 x 10^{-6}/K), and low Young's modulus (133 GPa). Although stoichiometric $LaPO_4$ does not react with alumina (a favorable characteristic), it also does not bond effectively to alumina. As shown below, we altered the stoichiometry of the $LaPO_4$ or introduced interphase material to overcome this

20 apparent shortcoming. $LaPO_4$ can be deposited in crystalline form on a heated substrate using conventional or pulsed electron beam vapor deposition and laser ablation, although those deposition techniques may not allow optimum control of coating composition. The deposition conditions used for electron beam vapor deposition can be adjusted to achieve a crystalline columnar microstructure, as

25 in Fig. 1, thereby mimicking the strain-tolerant microstructure of current state-of-the-art ZrO_2 coatings. $LaPO_4$ and closely related compounds are alternatives to ZrO_2 for thermal barrier coatings for metal alloy parts.

An important feature is the use of rare-earth element deposition sources with controlled compositions. Targets for pulsed electron beam ablation are fabricated by sintering the monazite powder and mixtures of the monazite powder, other rare-earth element phosphates, and refractory oxides, such as

5   zirconia, alumina, and mullite, and Y and La aluminates. Microstructures of the resulting coatings can then be assessed using X-ray diffraction and analytical scanning electron microscopy. Control of the La:P ratio in powders used to form the target is especially critical. However control of the La:P ratio requires special techniques: precipitation of powders from precursor solutions generally results in

10   a P-rich composition that requires further careful processing to adjust to the desired composition. That is, targets contain either rare-earth phosphates or mixtures of rare-earth element precursors (rare-earth element compounds that provide a rare-earth on evaporation) and phosphorus precursors (phosphorus containing compounds that provide phosphorous on evaporation). This approach

15   simplifies the production of rare-earth element phosphate coating containing mixtures of several different rare-earth elements. In particular, we have found that coating containing mixtures of La, Ce and Nd phosphates show especially favorable properties.

Optimum properties are achieved with coatings having varying

20   microstructures or two-phase compositions. A dual-layer coating with a thin dense layer of $LaPO_4$ (rare-earth element phosphate) adjacent to the pre-oxidized Al_2O_3, and a thicker columnar layer for thermal insulation and strain accommodation results in reduced growth rate of the Al_2O_3 layer and thus reduced residual stresses in the oxygen barrier layer ($Al_2O_3 + LaPO_4$ or rare-earth

25   element phosphate). It is also beneficial to intercalate a layer of an aluminum phosphate between the rare-earth phosphate layer and the alumina. Alternatively, the aluminum phosphate can replace the alumina layer. Another

preferred configuration uses a crack-free layer of LaAlO$_3$ (or rare-earth aluminum oxide) between the Al$_2$O$_3$ and LaPO$_4$ (or rare-earth element phosphate). The thermal expansion coefficient of LaAlO$_3$ (9.2 x 10^{-6}°C-1) lies between those of Al$_2$O$_3$ and LaPO$_4$, and, as with LaPO$_4$, the oxygen diffusion is much lower than in

5   ZrO$_2$. We have also shown that the bonding of LaAlO$_3$ to LaPO$_4$ and Al$_2$O$_3$ is stronger than the bonding of LaPO$_4$ to Al$_2$O$_3$, thus allowing a more strongly bonded coating system. The LaAlO$_3$ layer can be formed by separately depositing the LaAlO$_3$, or it can be formed via an *in situ* reaction after depositing a coating of LaPO$_4$ with excess La onto the pre-oxidized substrate. The range of

10   substrate temperatures needed for optimum deposition has been defined below. Substrates of pre-oxidized FeCrAlY, with composition similar to that of bond coats typically used on Ni-based superalloys, has been be used to allow direct comparison of coating properties, such as lifetime under thermal cycling, with existing ZrO$_2$ coatings.

15   Coating techniques

High temperature coatings are most commonly formed by plasma spraying or vapor phase (physical or chemical) deposition techniques. These methods have two advantages: they use a transient, high-energy form (*e.g.*, melt, vapor) of the material to be deposited and the coating is deposited using small building

20   blocks that land on the surface. The energy stored in these blocks is sufficient to evolve locally towards the final microstructure. When additional energy is required, the substrate temperature can be increased. For example, ZrO$_2$ thermal barrier coatings are produced either by plasma spraying or EB-PVD. These coatings are relatively stable, although the fine-scale porosity that is introduced

25   to reduce the thermal conductivity leads to coatings with high surface areas that are prone to sintering.

Although these techniques are suitable for manufacturing some coatings, they may not be adequate to screen and investigate new compositions. They usually require large quantities of target material with low deposition yield and they are usually not available on a small scale. In addition, complex

5   compositions with two or more compounds require considerable optimization to achieve the desired composition and microstructure. Compounds with constituents having different vapor pressures are especially difficult to deposit by techniques such as EB-PVD that involve melting and evaporation. In the electronics industry, the laser ablation technique has been used successfully

10   with small targets and complex compositions. However, the effectiveness of the technique is reduced in materials that are partially transparent (or reflective) at the laser wavelength. The higher beam power required and larger volume of target heated increase the likelihood of melting rather than ablation, with loss of high vapor pressure species.

15   We have deposited coatings of $LaPO_4$ by either laser ablation or electron beam evaporation (EB-PVD), onto substrates of FeCrAlY of composition similar to that of bond coats typically used on Ni-based superalloys.

We found that substrate temperature plays a central role in determining the morphology and crystal structure of physically evaporated materials.

20   Microstructures of coatings formed on substrates held with three temperature ranges are described below.

Low temperature coatings (600°C-700°C)

Coatings deposited at low temperatures appear to be glassy. X-ray diffraction showed poorly crystalline structures, with a dominant broad peak at

25   approximately 28°. The position of the peak does not correspond to the major peaks of $LaPO_4$. Further characterization by Raman spectroscopy confirmed this

difference. Additional X-ray diffraction data on other more crystalline low temperature coatings suggest a structure closer to that of $AlPO_4$, which is suggestive of a metastable form of $LaPO_4$ quenched on the substrate. Upon heat-treatment at 900°C the coatings spalled from the substrate, with debonding occurring between the coating and a thermally grown alumina layer, presumably driven by the volume change associated with crystallization.

Intermediate temperature coatings (750-850°C)

Coatings deposited at intermediate temperatures consist of adjacent botryoidal clusters forming a porous structure. X-ray diffraction indicates a more crystalline structure with a large proportion of monazite. A major peak at 28° and other extraneous peaks also suggest the presence of the hypothesized metastable form of lanthanum phosphate and possibly other phases. Raman spectroscopy confirmed the presence of the monazite but also showed at least one extraneous peak. After heat treatment at 900°C and 1100°C for one hour, the coating transformed completely to monazite, and all the extraneous peaks disappeared. These coating did not show any sign of spalling even after quenching in air from 1100°C

When the target was rotated at the low-end of the substrate temperature range, the coatings were dense with a botryoidal microstructure at the surface consistent with previous observations. With the deposition conditions being stable, coating thicknesses of more than 20 μm were achieved.

Fig. 1 shows that the coatings deposited at 860°C on rotated substrates were crystalline and exhibited a columnar shape similar to ZrO_2-based thermal barrier coatings. The tips of the columns had mostly four-sided pyramidal shapes as shown in the surface portion of Fig. 1a. The coating appeared to grow first as a dense layer that subsequently developed into the columnar structure, with

columns growing mostly vertically. This can be seen on the fracture face of Fig. 1a. The columns exhibited also a feather-like microstructure that is thought to decrease thermal conductivity which structure can be seen more clearly in Fig. 1b.

5   High temperature coatings (900-1100°C).

Coatings deposited at higher temperatures demonstrated well crystallized monazite, with grain size between 0.5 and 10 μm. A thin layer of $LaAlO_3$ between the $LaPO_4$ and the thermally grown alumina was also identified, suggesting that the initial deposition was La-rich (this is a desirable

10   microstructure because we found that $LaPO_4$ bonds more strongly to $LaAlO_3$ than to Al_2O_3). The $LaPO_4$ grains were equiaxial with mostly faceted surfaces.

Laser Ablation Coatings

The microstructures of the coatings deposited by laser ablation did not exactly match those obtained by EB-PVD at the same deposition temperatures.

15   At low temperature, the coatings were poorly crystallized and often exhibited a well-defined columnar microstructure, which can be seen in Fig. 2. At 740°C ($\sim$0.43T_M), the coating was relatively dense and the X-ray diffraction pattern was similar to that of EB-PVD coatings deposited at low temperature

We defined deposition conditions under which crystalline columnar

20   coatings were obtained (similar to Fig. 1), with structures similar to EB-PVD ZrO_2 coatings known to have high strain tolerance. However, some difficulty was encountered in controlling the composition, specifically the La:P ratio, during deposition of such thick coatings. The difficulty in controlling composition is intrinsic to the deposition methods used, which involved melting and

25   evaporation. Monazite is a line compound that melts congruently. In addition, La_2O_3 and P_2O_5 have very different melting points (i.e., 2070°C and 540°C,

respectively) and their thermal decomposition leads to species having very different partial pressures above their respective oxides at a given temperature. At sufficiently high temperature, the evaporation of LaO(g) and PO_2(g) species from solid stoichiometric $LaPO_4$ would be expected to occur simultaneously. Remedies to this issue are well identified in the art of coating. Complementary techniques using EB-PV deposition include the use of multiple crucibles, off-stoichiometry targets or assistance of gaseous jet to collimate the vapor. In the case of plasma-sprayed coatings, laser or pulsed electron beam ablation, off-stoichiometry target compositions would address this issue.

The following claims are thus to be understood to include what is specifically illustrated and described above, what is conceptually equivalent, what can be obviously substituted and also what incorporates the essential idea of the invention. Those skilled in the art will appreciate that various adaptations and modifications of the just-described preferred embodiment can be configured without departing from the scope of the invention. For example, various rare earths and their combinations may be substituted, and sequential combinations of heat treatments could be employed. The illustrated embodiment has been set forth only for the purposes of example and that should not be taken as limiting the invention. Therefore, it is to be understood that, within the scope of the appended claims, the invention may be practiced other than as specifically described herein.

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